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11-26-01

PTO/SB/05 (11-00)

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A

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032028900

First Inventor Yutaka HASEGAWA

Title APPARATUS AND METHOD FOR CREATING AND SUPPLYING A PROGRAM
VIA COMMUNICATION NETWORK

Express Mail Label No. EL824966832US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL824966832US

Date of Deposit: November 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Marco Perez

U.S. PTO
1003
11/21/01

11/21/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status.
<i>See 37 CFR 1.27.</i> | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 69] | a. <input type="checkbox"/> Computer Readable Form (CRF) |
| - Descriptive title of the Invention | |
| - Cross Reference to Related Applications | |
| - Statement Regarding Fed sponsored R & D | |
| - Reference to sequence listing, a table, or a computer program listing appendix | |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (<i>if filed</i>) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 13] | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
<i>(where there is an assignee)</i> |
| 5. <input type="checkbox"/> Oath or Declaration [Total Pages 3] | 11. <input type="checkbox"/> English Translation document (if applicable) |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy) | 12. <input checked="" type="checkbox"/> Information Disclosure Statement
<i>(IDS)/PTO-1449</i> |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i> | 13. <input type="checkbox"/> Preliminary Amendment |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)
<i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</i> | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>Should be specifically itemized</i> |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document (1)
<i>(if foreign priority is claimed)</i> |
| | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |
| | 17. <input type="checkbox"/> Other _____ |

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | Power of Attorney <input type="checkbox"/> |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
<i>(where there is an assignee)</i> | Copies of IDS Citation(s): 8 |
| 11. <input type="checkbox"/> English Translation document (if applicable) | |
| 12. <input checked="" type="checkbox"/> Information Disclosure Statement
<i>(IDS)/PTO-1449</i> | |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>Should be specifically itemized</i> | |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document (1)
<i>(if foreign priority is claimed)</i> | |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| 17. <input type="checkbox"/> Other _____ | |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. *

Prior application information: Examiner * Group / Art Unit: *

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or Correspondence address below



25224

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

Name	David L. Fehrman				
	Morrison & Foerster				
Address	555 W 5th Street, 35th Floor				
City	Los Angeles	State	CA	Zip Code	90013
Country	US	Telephone	(213) 892-5601		Fax (213) 892-5454
Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600		
Signature	Date November 21, 2001				

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1308.00)

Complete if Known	
Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Yutaka HASEGAWA
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned

Attorney Docket No. 393032028900

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1952**

Deposit Account Name **Morrison & Foerster LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) **(\$740.00)**

EXTRA CLAIM FEES

Total Claims	- 20** =	Extra Claims	Fee from below	Fee Paid
8	- 3** =	5	x 84	= \$420

Multiple Dependent

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
102	84	202	42	Independent claims in excess of 3

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
104	280	204	140	Multiple dependent claims, if not paid

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
109	84	209	42	**Reissue independent claims over original patent

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$528.00)**

** or number previously paid, if greater; For reissues, see above.

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$40.00)**

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600	Telephone	(213) 892-5601
Signature		Date	November 21, 2001		

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